DT02 Rec'd PCT/PTU

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

#### Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER 441/1/022 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. **GFR 1.5)** CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/JP2002/011534 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED November 5, 2002 29, 2002 August TITLE OF INVENTION 1,4-DISUBSTITUTED DIACETYLINE POLYMER AND PROCESS FOR APPLICANT(S) FOR DOZEOUS THE TAKEDA Kenji et Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. $\mathbf{x}$ 4 The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). لـعا Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). $\mathbf{x}$ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10 l Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Form PTO/SB/08A & 2 references An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 l 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76.

Other items or information: PCT/IB/308; Amendment under Article 11 This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A substitute specification.

A power of attorney and/or change of address letter.

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| U.S. APPLICATIO  | N NO. (if known     | 25351    | PCT/JP2002/0                                      | 2002/011534 441/1/022 |                        | CKET NUMBER  |
|--|---------------------|----------|---|-----------------------|------------------------|--------------|
| The following fees have been submitted   |                     |          |   |                       | CALCULATIONS           | PTO USE ONLY |
| 21. 🔀 Basic national fee\$300  |                     |          |   | \$300                 | \$ 300                 |              |
| 22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)  |                     |          |   |                       | \$ 3200                |              |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority   |                     |          |   |                       | \$ 400                 |              |
|  |                     |          |   |                       | \$ 900                 |              |
| TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |                     |          |   |                       | 700                    |              |
| Total Sheets   |                     |          | additional 50 or fraction<br>p to a whole number) | RATE                  | 0                      |              |
| - 100 =  | /50 =               |          |   | x \$250               | \$                     |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).   |                     |          |   |                       | \$ 0                   |              |
| CLAIMS   | CLAIMS NUMBER FILED |          | NUMBER EXTRA                                      | RATE                  | \$                     |              |
| Total claims   | 1                   | 2 - 20 = | 0   | × \$ 50               | \$ 0                   |              |
| Independent claims   | 3                   | 1 -3=    | ∵0  | × \$200               | \$ 0                   |              |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |                     | 0        | + \$360   | \$ O                  |                        |              |
| TOTAL OF ABOVE CALCULATIONS =  |                     |          |   |                       | \$ 900                 |              |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.  |                     |          |   |                       |                        |              |
| SUBTOTAL =   |                     |          |   |                       | \$ 900                 |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |                     |          |   |                       | \$                     |              |
| TOTAL NATIONAL FEE =   |                     |          |   |                       | \$ 900                 |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |                     |          |   |                       | \$                     |              |
| TOTAL FEES ENCLOSED =  |                     |          |   |                       | \$ 900                 |              |
|  |                     |          |   |                       | Amount to be refunded: | \$           |
|  |                     |          |   |                       | Amount to be charged:  | \$ 900       |
| a. A check in the amount of \$ to cover the above fees is enclosed.  |                     |          |   |                       |                        |              |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.   |                     |          |   |                       |                        |              |
| c. X  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 0.7. 1524. A duplicate copy of this sheet is enclosed.   |                     |          |   |                       |                        |              |
| d. X Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.   |                     |          |   |                       |                        |              |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.   |                     |          |   |                       |                        |              |
| $M/L M/M_{\odot}$  |                     |          |   |                       |                        |              |
| Richard M. Goldberg  |                     |          |   |                       | 1 11/ 2/02             | wy           |
| 25 East Salem Street   |                     |          |   |                       | •                      | 0            |
| Suite 419<br>Hackensack, NJ 07601 R <del>ichard M</del>  |                     |          |   |                       | . Goldberg             | <del></del>  |
| (201) 343-7775 28-215<br>REGISTRATIO   |                     |          |   |                       | ON NUMBER              |              |
|  |                     |          |   |                       |                        |              |

# GOLDBERG PATENT LAW OFFICE

25 EAST SALEM STREET **SUITE 419** HACKENSACK, NEW JERSEY 07601 U.S.A.

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PATENT, TRADEMARK AND COPYRIGHT CAUSES

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MEMBER NEW JERSEY AND NEW YORK BARS

February 23, 2005

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Entering National Stage in

PCT Application No. PCT/JP2002/011534

Applicant:

Kenji TAKEDA et al

Title

1,4-DISUBSTITUTED DIACETYLENE

POLYMER AND PROCESS FOR PRODUCING

THE SAME

Our File

441/1/022

Certificate of Mailing By Express Mail Under 37 CFR 1.10 Express Mail "Mailing Label No.": ED 173244386 US

Date of Deposit

: FEBRUARY 23, 2005

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop PCT, COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on FEBRUARY 23, 2005.

Richard M. Goldberg

(Name of Registered Representative and person mailing)

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and Trademark Office is a new U.S. patent application including:

Transmitted herewith for filing in the U.S. Patent and Trademark Office (entering the national phase in a PCT application (PCT/JP2002/011534) (WO 2004/026924) is a new application including:

Form PTO-1390 (in duplicate), with authorization to charge \$900.00 to credit card, along with Credit Card Payment Form (PTO-2038);

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Commissioner for Patents February 23, 2005 Page 2

- b) Form PCT/IB/308 (mailed April 1, 2004) entitled NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES, indicating that the original PCT application was transmitted by the International Office to the U.S. Patent and Trademark Office 1 page;
- c) Original filed Japanese-language application of seventeen (17) pages with twelve (12) claims and seven (7) sheets of drawings (Figs. 1-11);
- d) Two front pages of published PCT application in Japanese, with English language title and Abstract;
- e) English-language translation of original PCT application, which is an accurate translation of the original Japanese language PCT application;
- f) Executed Declaration and Power of Attorney and photocopy thereof;
- g) Application Data Sheet;
- h) Form PCT/ISA/210 International Search Report performed by the Japanese Patent Office, and English-language translation thereof;
- i) Amendment Filed in International Application under Article 11 in the Japanese language, with replacement pages, and English language translation thereof.
- j) Preliminary Amendment and seven (7) drawing sheets entitled Annotated Sheets Showing Changes and seven (7) drawing sheets entitled Replacement Sheets; and
- k) Information Disclosure Statement, one Form PTO/SB/08A and two references.

## APPLICANTS DO NOT QUALIFY FOR SMALL ENTITY STATUS.

It is respectfully requested that the above-identified PCT application be granted a filing date within the February 28, 2005 convention term (30 months), based on Japanese Application No.

### **GOLDBERG PATENT LAW OFFICE**

Commissioner for Patents February 23, 2005 Page 3

and Application No. 2002-249950, filed August 29, 2002, and Application No. PCT/JP2002/011534, filed November 5, 2002.

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 07-1524.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524.

Respectfully submitted,

Richard M. Goldberg

Registration No. 28,215

RMG:mz enclosures